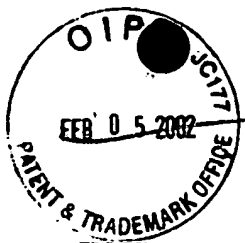


Atty. Dkt No.
33035M083



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hiromi NAKANISHI et al.

Serial No.: 10/015,898

Group Art Unit: Unassigned

Filed: December 17, 2001

Examiner: Unassigned

For : OPTICAL RECEIVER

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, Applicants are enclosing an Information Disclosure Citation Form (PTO-1449) and a copy of the documents cited therein.

It is respectfully requested that the cited documents be considered by the Examiner in the above-identified patent application and that the cited documents be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent which may issue from this application.

This Information Disclosure Statement is being filed within three months of the filing date of the present application, and therefore it is believed that no fees are due under 37 C.F.R. Section 1.97(i).

Respectfully submitted,
SMITH, GAMBRELL & RUSSELL, LLP



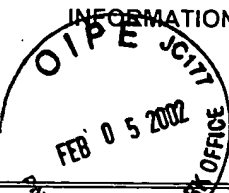
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PATENT TRADEMARK OFFICE

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February 5, 2002

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| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT  | ATTY. DOCKET 33035M083 | SERIAL NO. 10/015,898 |
| | APPLICANT: Hiromi NAKANISHI et al. | |
| | FILING DATE December 17, 2001 | GROUP ART UNIT Unassigned |

U.S. PATENT DOCUMENTS

| *Examiner's Initials | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE, IF APPROPRIATE |
|-------------------------|----|--------------------|------|------|-------|---------------|--------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
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| | AE | | | | | | |
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| | AG | | | | | | |

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| | AK | Y. Murakami et al. "Development of Simplified WDM System – Development of Compact EDFA and Optical Receiver Provided with Optical BPF" <u>Technical Report of IEICE OCS99-60</u> (1999-09) pp. 41-46. |
| | AL | |
| | AM | |
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| | AO | |
| | AP | |
| | AQ | |

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|---|------------------|
| EXAMINER: | DATE CONSIDERED: |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |